4/30/2012

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South Carolina Department of Public Safety, State Transport RECEIVED

\$12778

JUN 15 2012 Legal: C&G CHARTER SEVICE LLC **USDOT#** 2189771 Operating (DBA): State #: MC/MX #: 760862 Location of Review/Audit: Company Facility in the U.S. Review Type: Safety Audit - New Entrant Territory: Scope: Entire Operation Interstate Intrastate Operation Types Business: Corporation

N/A

NA

Cargo Tank: N/A Company Physical Address:

Carrier:

Shipper:

117 NORTH TRACE LN

COLUMBIA, SC 29223, UNITED STATES

Non-HM

N/A

Contact Name: George Washington Phone numbers: (1) 8037083191

(2) 8033785590

Gross Revenue:

Fax 8037083191

for year ending:

Company Malling Address:

PO BOX 24971

E-Mail Address:

COLUMBIA, SC 29224, UNITED STATES

Carrier Classification

Authorized for Hire; Private Passenger, Business

Cargo Classification

Passengers

Does carrier transport placardable quantities of HM? No Is an HM Permit required?

Driver Information

Average trip leased drivers/month: 0 Inter intra Total Drivers: 3 0 0 < 100 Miles: CDL Drivers: 3 0 >= 100 Miles: 3

Equipment

Motor Coach

Owned Term Leased Trip Leased Trip Leased Term Leased Owned

1 Power units used in the U.S.:

100 Percentage of time used in the U.S.:

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: **M**

From:

06/14/2012 17:28

#358 P.002/010



C&G CHARTER SEVICE LLC

USDOT# 2189771

Review Date: 5/16/2012

Part A

QUESTIONS regarding this report or the Federal Motor Carrier or Hazardous Materials rules may be addressed to the Office of Motor Carriers at:

South Carolina State Transport Police / Motor Carrier Compliance Unit

10311 Wilson Blvd, Bldg D-2 / PO Box 1993

Blythewood, SC 29016

This SAFETY AUDIT will be used to assess your safety compliance.

Person(s) Interviewed:

Name: George Washington

Title: Office Manager

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Review Date: 5/16/2012

Part B - Questions and Answers

Pail B - Question - I	te of the audit.
asterisk (*) beside an answer indicates an area of non-compliance by the motor carrier, and negatively affects the resu	Answer
	N/A
uestion General # 1 Section # 387.7(a) Accide the carrier of the carrier have the required minimum level of financial responsibility in effect (property carrier)?	1071
	Answer
uestion General # 2 Section # 387.7(d) Critical	NA
oes the carrier have required proof of financial responsibility (property carrier)?	
10 2 4 4 507 34(a) Acute	Answer
uestion General # 3 Section # 387.31(a) Acute to the carrier have the required minimum level of financial responsibility in effect (passenger carrier)?	Yes
oes the carrier have the required minimum level of faragraphs responsible.	
4 A Section # 397 31(d) Critical	Answer
uestion General # 4 Section # 387.31(d) Critical coes the carrier have required proof of financial responsibility (passenger carrier)?	Yes
oes the carrier have required proof of financial respectation, transfer have required proof of financial respectation,	
1 (15 Oction # 12001 (392 9a(a)(1))	Answer
Ruestion General # 5 Section # 13901 (392.9a(a)(1)) s the motor carrier authorized to conduct interstate operations in the United States?	Yes
s the motor carrier authorized to burious interests of the motor carrier authorized to burious authorized authorized to burious authorized authorized authorized authorized authorized authorized authorized authorized authorized authoriz	
- 100 Control # 200 1E(b)(1)	Answer
Question General #8 Section #390.15(b)(1) Can the carrier provide a complete accident register of recordable accidents?	N/A
Can the carrier provide a complete accident register of the carrier provide a complete accident register.	
4 7 Continue # 200 15(b)(2) Criff(ca)	Answer
Question General # 7 Section # 390.15(b)(2) Critical Does the carrier have copies of all accident reports required by States or other government entities or	N/A
Does the carrier have copies of all accident reports required.	
	Answer
Question General # 8 Section # 390.3(e)	Yes
is the carrier knowledgeable of the FMCSRs/HMRs?	
	Answer
Question General # 9 Section # 390.21	Yes
Does the carrier know the commercial motor vehicles marking requirements?	
W. C. W. W. Ood St. O. Critical	Answer
Question Driver # 1 Section # 391.51(a) Critical	No *
Does the carrier maintain driver qualification files?	
Additional Documents Required	. •
Additional Documents Required Oriver qualification files; Up to three (3) files which include newly hired drivers if applicable.	
	4 21.4
Comments Thomas Wilson - 04/03/12 to FL - Application for employment missing employment history, missing safety to Thomas Wilson - 04/03/12 to FL - Application for employment not signed, missing safety	performance history
Thomas Wilson - 04/03/12 to FL - Application for employment missing employment nistory, missing safety records check MORRIS SIMMONS - 5/3/12 to GA - Application for employment not signed, missing safety records check MORRIS SIMMONS - 5/3/12 to GA - Application for employment not signed, missing safety performance.	a history records
records check MORRIS SIMMONS - 5/3/12 to GA - Application for employment not signed, missing safety performance records check and road test certification. HERBERT SELLERS - 3/20/12 to FL - missing safety performance records check and road test certification.	
check.	Answer
Question Driver #2 Section #391.11(b)(4) Acute	Yes
Is the carrier using physically qualified drivers?	,
	Answer
Question Driver #3 Section #391.45(a), 391.45(b) Critical Is the carrier using a driver without a medical certificate or with an expired medical certificate?	No

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uestion Driver # 4 Section # 391.15(a) Acute	Answer
the carrier using any disqualified drivers?	No
the carrier using any disquarings arrows.	
uestion Driver # 5 Section # 391.51(b)(2) Critical	Answer
pes the carrier maintain driving inquiry data in driver qualification files?	Yes
ACS DID COLLEGE THE PROPERTY OF THE PROPERTY O	
uestion Driver # 6 Section # 382.115(a), 382.115(b) Acute	Answer
as the carrier implemented an alcohol and/or controlled substances testing program?	Yes
20 110 041101117	
uestion Driver # 7 Section # 382,213(b) Acute	<u>Answer</u> No
as the carrier used drivers who have used controlled substances?	140
	Answer
uestion Driver #8 Section #382.215 Acute	No
las the carrier used a driver who has tested positive for a controlled substance?	140
	Answer
Question Driver # 9 Section # 382,201 Acute	No
las the carrier used a driver known to have an alcohol concentration of 0.04 or greater?	110
	Answer
Question Driver # 10 Section # 382.505(a) Acute	No
las the carrier used a driver found to have an alcohol concentration of .02 or greater but less than .04 within	
4 hours of being tested?	Anguar
Question Driver # 11 Section # 382.301(a) Critical	<u>Answer</u> Yes
Has the carrier ensured that drivers have undergone testing for controlled substances prior to performing a	1 53
safety sensitive function?	
Question Driver # 12 Section # 382.303(a) Critical	Answer
Has the carrier conducted post accident testing on drivers for alcohol?	N/A
RED IN CONT.	A
Question Driver # 13 Section # 382.303(b) Critical	Answer N/A
Has the carrier conducted post accident testing on drivers for controlled substances?	WA
	Amarian
Question Driver # 14 Section # 382.305 Acute	<u>Answer</u> Yes
Has the carrier implemented random testing program?	163
Comments Thomas Wilson - 04/03/12 to FL - Carrier started operating in March 2012 but did not implement a random testi	ng program un
Thomas Wilson - 04/03/12 to FL - Carrier station operating with the carrier station op	
Note: This carrier was in violation prior to the Safety Audit.	
	Answer
Question Driver # 15 Section # 382,305(b)(1) Critical Has the carrier conducted random alcohol testing at an annual rate of not less than the applicable annual rate	NA
Has the carrier conducted random alcohol testing at an annual rate of not less than the appropriate or prorated rate of the average number of driver positions?	
	Answer
Question Driver # 16 Section # 382.305(b)(2) Critical	N/A
Has the carrier conducted controlled substance testing at the applicable prorated rate of not less than the	
applicable annual rate of the average number of driver positions.	Anguna
Question Driver # 17 Section # 40.305(a)	Answer N/A
Has the carrier conducted the required return-to-duty tests on employees returning to safety-sensitive	IWA

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uestion Driver # 19 Section # 382 211 Acute	Answer
as the carrier used a driver who has refused to submit to an alcohol or controlled substances test required	N/A
as the carrier used a driver who has refused to equitate an allowed by the carrier used a driver who has refused to equitate an allowed by the carrier used a driver who has refused to equitate an allowed by the carrier used a driver who has refused to equitate an allowed by the carrier used a driver who has refused to equitate the carrier used as the carrier used	
	Answer
uestion Driver # 20 Section # 382.503 Critical	N/A
as the carrier used a Substance Abuse Professional as required by 49 CFR Part 40 Subpart O?	107
uestion Driver #21 Section #383.23(a) Critical	Answer
as a driver operated a commercial motor vehicle without a current operating license, or a license, which asn't been properly classed and endorsed?	No
uestion Driver # 22 Section # 383.37(a) Acute	Answer
las the motor carrier knowingly allowed it's drivers who's CDLs have been suspended, revoked or canceled y a state, have lost the right to operate a CMV in a State, or have been disqualified from operating a CMV to perate a commercial motor vehicle?	No
Nuestion Driver # 23 Section # 383.51(a) Acute	Answer
las the motor carrier knowingly allowed, required, permitted, or authorized a driver to drive who is is is isqualified to drive a commercial motor vehicle?	No
Question Operations # 1 Section # 395.1(e)(1), 395.1(e)(2)	Anawer
Does the carrier have a system for recording hours of duty status on 100/150- mile radius drivers, and are ney properly utilizing the 100/150 air-mile radius exemption?	N/A
Question Operations # 2 Section # 395,8(a) Critical	Answer
oes the carrier require drivers to make a record of duty status?	Yes
luestion Operations # 3 Section # 395.8(i) Critical	Answer
Does the carrier require drivers to submit records of duty status within 13 days?	Yes
Question Operations # 4 Section # 395.8(k)(1) Critical	Answer
Can the carrier produce records of duty status and supporting documents for selected drivers?	Yes
Question Operations # 5 Section # 395.3(a)(1) Critical	Answer
las the carrier allowed driver(s) to exceed the 11-hour rule? (Property)	No
Question Operations # 6 Section # 395.3(a)(2) Critical	Answer
las the carrier allowed driver(s) to exceed the 14-hour rule? (Property)	No
Question Operations # 7 Section # 395.3(b)(1) Critical	Answer
las the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive lays? (Property)	No
Question Operations # 8 Section # 395.3(b)(2) Critical	Answer
las the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive lays? (Property)	No
Question Operations # 9 Section # 395.5(a)(1) Critical	Answer
las the carrier allowed driver(s) to exceed the 10 hour rule? (Passenger)	No
Question Operations # 10 Section # 395.5(a)(2) Critical	Answer
ANNANTA PRESENTED OF TEXTS OF A 17 C	No

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# 4.44 Section # 395 5(b)(1) Critical	Answer
estion Operations # 11 Section # 395.5(b)(1) Critical state of the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive	No
ys? (Passenger)	Answer
uestion Operations # 12 Section # 395,5(b)(2) Critical	No
<u>vestion</u> Operations # 12 Section # 395,5(b)(2) Critical operations of the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive as the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive	
ys? (Passenger)	Answer
uestion Operations # 13 Section # 395.8(e) Critical	No
uestion Operations # 13 Section # 355.5(e) Childer. Des available evidence indicate a selected driver has prepared a false record of duty status?	,,,,
	Answer
uestion Operations # 14 Section # 392.2 Critical	Yes
oes the motor carrier ensure that drivers operate continuous are operating? rdinances, and regulations of the jurisdictions in which they are operating?	
and All Vill Ordinal	Answer
nuestion Operations # 15 Section # 392.9(a)(1) Critical oes the carrier ensure that drivers are not permitted to drive a vehicle without the cargo property distributed	Yes
noes the carrier ensure that drivers are not permitted to the carrier ensure that drivers are	
1400 (//) Ando	Answer
Question Operations # 16 Section # 392.4(b) Acute lave any drivers operated a commercial motor vehicle while under the influence of, or in possession of, lave any drivers operated a commercial motor vehicle while under the influence of, or in possession of, lave any drivers operated a commercial motor substances capable of rendering the drivers incapable of safely	No
lave any drivers operated a commercial motor vehicle while under the intuence of the properties of the drivers incapable of safety arcotic drugs, amphetamines, or any other substances capable of rendering the drivers incapable of safety arcotic drugs, amphetamines, or any other substances capable of rendering the drivers incapable of safety	
ercotic drugs, amphetamines, or any other superior	
perating motor vehicles?	19wenA
Question Operations # 17 Section # 392.5(b)(1) Acute	No
lave any drivers operated a commercial motor venice while thost the milestone and the same and t	
ntoxicating beverages?	Answer
Question Operations # 18 Section # 392.5(b)(2) Acute	No
Question Operations # 18 Section # 392.5(b)(2) Addition Have any drivers operated a commercial motor vehicle within 4 hours of having consumed intoxicating	
beverages?	Answer
Question Maintenance #1 Section # 396.3(b) Critical	No *
Can the carrier produce maintenance files for requested vehicle(s)?	140
Can the carrier produce means and second	
Additional Documents Required	
Complete vehicle maintenance files; up to three (3).	
Preventive Maintenance Plant	
Comments Thomas Wilson - 4/13/12 to FL - 1999 VANH YE2TC63B9X2043387 - Missing maintenance folder with vehicle	e identification
Thomas Wilson - 4/13/12 to FL - 1999 VARITY (Information to include PM plan	
Information to include 1 to plant	Answer
Question Maintenance # 2 Section # 396.17(a) Critical	Yes
Question Maintenance # 2 Section # 350.17(a) Onto Maintenance # 350.17(a) O	
	Answer
Question Maintenance # 3 Section # 396.11(a) Critical	Yes
Does the motor carrier require drivers to complete vehicle inspection reports delily?	
	Answer
Question Maintenance # 4 Section # 396.11(c) Acute	Yes
the compare ensure that out-of-service defects listed by the driver in the differ	
are corrected before the venicie is operated again:	19wenA
Question Maintenance # 5 Section # 396.9(c)(2) Acute	
Question Maintenance # 5 Section # 396.9(c) Acute Does the carrier ensure vehicles that have been declared "out-of-service" do not operate before repairs have	100
(DOGS (III) COLINI ALIANIA	

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* * * Error Report (Jun. 15. 2012 8:36AM) * * *

1) SC Public Service Comm Docketing
2)

File No.	User Name	Destination	Mode	Time	Page	Result	
9600			G3RES	1 18"	P. 7	E	

Page not received

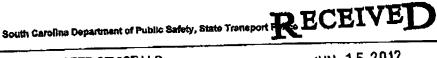
Quick Service Code

P. 7

00-01

C: Confidential S: Transfer
L: Send later @: Forwarding
D: Detail F: Fine
H: Stored/D. Server #: LAN-Fax
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P : SEP Code E : ECM U : Super Fine + : Delivery ←>: IP-FAX





USDOT# 2189771

Legal: C&G CHARTER SEVICE LLC

JUN 15 2012

OBS

Operating (DBA):

MC/MX #: 760862 Review Type: Safety Audit - New Entrant

State #:

Location of Review/Audit: Company Facility in the U.S.

Territory:

Interstate Intrastate Operation Types Carrier:

> Shipper: Cargo Tank:

Non-HM N/A

Scope: Entire Operation

N/A N/A N/A

Business: Corporation \$12778 Gross Revenue:

for year ending:

4/30/2012

Company Physical Address:

117 NORTH TRACE LN

COLUMBIA, SC 29223, UNITED STATES

Contact Name: George Washington

Phone numbers: (1) 8037083191

(2) 8033785590

Fax 8037083191

E-Mail Address:

Company Malling Address:

PO BOX 24971

COLUMBIA, SC 29224, UNITED STATES

Carrier Classification

Authorized for Hire; Private Passenger, Business

Cargo Classification

Passengers

Does carrier transport placardable quantities of HM? No

Is an HM Permit required?

Trip Leased

Driver Information

Intra Inter 0 0 < 100 Miles:

Average trip leased drivers/month: 0

Total Drivers: 3 CDL Drivers: 3

3 0 >= 100 Miles:

Equipment

Motor Coach

Owned Term Leased

Power units used in the U.S.:

1

Percentage of time used in the U.S.:

100

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Owned Term Leased Trip Leased



USDOT# 2189771

Review Date: 5/16/2012

Part A

QUESTIONS regarding this report or the Federal Motor Carrier or Hazardous Materials rules may be addressed to the Office of Motor Carriers at:

South Carolina State Transport Police / Motor Carrier Compliance Unit 10311 Wilson Blvd, Bldg D-2 / PO Box 1993

Blythewood, SC 29016

This SAFETY AUDIT will be used to assess your safety compliance.

Person(s) Interviewed:

Name: George Washington

Title: Office Manager

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USDOT#: 2189771

Review Date: 5/16/2012

Part B - Questions and Answers

The social and receively affects the rest	its of the audit.
n asterisk (*) beside an answer indicates an area of non-compliance by the motor carrier, and negatively affects the resu	Answer
uestion General # 1 Section # 387.7(a) Acute	N/A
oes the carrier have the required minimum level of financial responsibility in effect (property carrier)?	
uestion General # 2 Section # 387.7(d) Critical	Answer
ces the carrier have required proof of financial responsibility (property carrier)?	N/A
oes the carrier have required proof of financial responsering (perpendicular)	
Question General # 3 Section # 387.31(a) Acute	Answer
nestion General #35 Section #307.5 (b) Acute the carrier have the required minimum level of financial responsibility in effect (passenger carrier)?	Yes
A Carlon # 287 31(d) Critical	Answer
Question General # 4 Section # 387.31(d) Critical coes the carrier have required proof of financial responsibility (passenger carrier)?	Yes
ooes the carrier have required proof of financial responsition, the carrier have required proof of financial responsition.	
Question General # 5 Section # 13901 (392.9a(a)(1))	Answer
s the motor carrier authorized to conduct interstate operations in the United States?	Yes
s the motor carner authorized to solvest institutions	
Question General #6 Section #390.15(b)(1)	Answer
Can the carrier provide a complete accident register of recordable accidents?	N/A
Can the Cather province a complete desired	
Question General # 7 Section # 390.15(b)(2) Critical	Answer
Question General # 7 Section # 390, 19(0)(2) Offices Does the carrier have copies of all accident reports required by States or other government entities or	N/A
Insurers?	
Question General # 8 Section # 390.3(e)	Answer
CHESTON GENERAL & SECTION & SPO. O(V)	Yes
is the carrier knowledgeable of the FMCSRs/HMRs?	
Question General # 9 Section # 390.21	Answer
Question General # 9 Section # 000.21 Does the carrier know the commercial motor vehicles marking requirements?	Yes
Does the camer know the contine classification vertices that the camer know the contine classification vertices the camer know the camer know the camer know the contine classification and the camer know the camer kno	
Question Driver # 1 Section # 391.51(a) Critical	Answer
Question Diver # 1 Section # 597.07(4) 97.054	No *
Does the carrier maintain driver qualification files?	
Additional Documents Required	. •
Additional Documents Reduned Driver qualification files; Up to three (3) files which include newly hired drivers if applicable.	
Comments	anto mana a biotone
Comments Thomas Wilson - 04/03/12 to FL - Application for employment missing employment history, missing safety participates of the complex	performance history
Thomas Wilson - 04/03/12 to FL - Application for employment missing employment history, missing safety records check. MORRIS SIMMONS - 5/3/12 to GA - Application for employment not signed, missing safety records check and road test certification. HERBERT SELLERS - 3/20/12 to FL - missing safety performance.	history records
records check and road test certification. HERBERY SEELERS	-
check.	Answer
Question Driver # 2 Section # 391.11(b)(4) Acute	Yes
Question Driver # 2 Section # 391.11(b)(4) Acute Is the carrier using physically qualified drivers?	Yes
Is the carrier using physically qualified drivers?	
Question Driver # 2 Section # 391.11(b)(4) Acute Is the carrier using physically qualified drivers? Question Driver # 3 Section # 391.45(a), 391.45(b) Critical Is the carrier using a driver without a medical certificate or with an expired medical certificate?	Answer No

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uestion Driver # 4 Section # 391.15(a) Acute	Answer
the carrier using any disqualified drivers?	No
the carrier using any disquarined envisor.	
uestion Driver # 5 Section # 391.51(b)(2) Critical	Answer
poes the carrier maintain driving inquiry data in driver qualification files?	Yes
DES DIE CELTION THAINTEN	
Driver # 6 Section # 382.115(a), 382.115(b) Acute	Answer
las the carrier implemented an alcohol and/or controlled substances testing program?	Yes
	Ancrea
Driver # 7 Section # 382,213(b) Acute	<u>Answer</u> No
las the carrier used drivers who have used controlled substances?	110
	Answer
Question Driver # 8 Section # 382.215 Acute	No
las the carrier used a driver who has tested positive for a controlled substance?	
	Answer
Question Driver # 9 Section # 382,201 Acute	No
las the carrier used a driver known to have an alcohol concentration of 0.04 or greater?	
5 - 4 - 4 - 2 - 4 - 2 - 2 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5	Answer
Question Driver # 10 Section # 382.505(a) Acute Has the carrier used a driver found to have an alcohol concentration of .02 or greater but less than .04 within	No
Has the carrier used a driver found to have all accord concentration of the same as a concentration of being tested?	
	Answer
Question Driver #11 Section # 382.301(a) Critical	Yes
Question Driver #11 Section # 502.55 ((a) States Driver #11 Section # 502.55 ((a) States Driver #11 Section # 502.55 ((a) States Driver # 11 Section # 502.55 ((a) States Driver # 50	
safety sensitive function?	Answer
Question Driver # 12 Section # 382.303(a) Critical	N/A
Has the carrier conducted post accident testing on drivers for alcohol?	
The second secon	Answer
Question Driver # 13 Section # 382.303(b) Critical	N/A
Has the carrier conducted post accident testing on drivers for controlled substances?	
Question Driver # 14 Section # 382.305 Acute	Answer
Question Driver # 14 Section # Section # Section Production	Yes
Has the carrier implemented random testing program?	
Comments Comments	na propram un
Comments Thomas Wilson - 04/03/12 to FL - Carrier started operating in March 2012 but did not implement a random testi	ne ki zeli mili mi
05/11/12	
Note: This carrier was in violation prior to the Safety Audit.	Answer
Question Driver # 15 Section # 382,305(b)(1) Critical	N/A
the engine conducted random alcohol testing at an annual rate of not less than the applicable artificial rate	IVI
or prorated rate of the average number or driver positions?	
Question Driver # 16 Section # 382.305(b)(2) Critical	Arisweit N/A
It has the engine conducted controlled substance testing at the applicable prorated rate of not less than the	N/A
applicable annual rate of the average number of driver positions?	
Question Driver # 17 Section # 40.305(a)	Answer
Has the carrier conducted the required return-to-duty tests on employees returning to safety-sensitive	NA

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110 C. War # 202 241 Acute	Answer
uestion Driver # 19 Section # 382 211 Acute as the carrier used a driver who has refused to submit to an alcohol or controlled substances test required	N/A
is the carrier used a driver who has refused to submit to all alcohol or controlled database who has refused to submit to all alcohol or controlled database who has refused to submit to all alcohol or controlled database who has refused to submit to all alcohol or controlled database who has refused to submit to all alcohol or controlled database who has refused to submit to all alcohol or controlled database who has refused to submit to all alcohol or controlled database who has refused to submit to all alcohol or controlled database who has refused to submit to all alcohol or controlled database who has refused to submit to all alcohol or controlled database who has refused to submit to all alcohol or controlled database who has refused to submit to all alcohol or controlled database who has refused to submit to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has refused to all alcohol or controlled database who has all alcohol or controlled databas	
	Answer
estion Driver # 20 Section # 382.503 Critical s the carrier used a Substance Abuse Professional as required by 49 CFR Part 40 Subpart O?	N/A
is the carrier used a Substance Abuse Professional as required by 40 OFFEE 212	
Jestion Driver # 21 Section # 383.23(a) Critical	Answer
uestion Diver #21 decices # documents without a current operating license, or a license, which	No
asn't been properly classed and endorsed?	
100 Carling # 393 37/a) Acrite	Answer
	No
as the motor carrier knowingly allowed it's drivers who a CDLs have been disqualified from operating a CMV to y a state, have lost the right to operate a CMV in a State, or have been disqualified from operating a CMV to perate a commercial motor vehicle?	
uestion Driver # 23 Section # 383.51(a) Acute	Answer
as the motor cerrier knowingly allowed, required, permitted, or authorized a driver to drive who is	No
as the motor carner knowingly allowed, required, permitted, sequalified to drive a commercial motor vehicle?	
# Operations # 1 Section # 395 1(e)(1), 395.1(e)(2)	Answer
the carrier have a system for recording hours of duty status on 100/150- mile radius drivers, and are	N/A
ney properly utilizing the 100/150 air-mile radius exemption?	
Question Operations # 2 Section # 395.8(a) Critical	<u>Answer</u>
Does the carrier require drivers to make a record of duty status?	Yes
HD Conton H 205 9/0 Critical	Answer
Question Operations #3 Section #395.8(i) Critical	Yes
Oces the carrier require drivers to submit records of duty status within 13 days?	
Question Operations # 4 Section # 395.8(k)(1) Critical	Answer
Can the carrier produce records of duty status and supporting documents for selected drivers?	Yes
Call the carrot plotted total at 1227	
Question Operations # 5 Section # 395.3(a)(1) Critical	Answer
Has the carrier allowed driver(s) to exceed the 11-hour rule? (Property)	No
	Answer
Question Operations # 6 Section # 395.3(a)(2) Critical	No.
Has the carrier allowed driver(s) to exceed the 14-hour rule? (Property)	140
Question Operations #7 Section # 395.3(b)(1) Critical	Answer
Question Operations # 7 Securit # 595.3(b)(1) Strikes Has the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive	No
Has the camer allowed driver(s) to drive after having oscition day, and the second days? (Property)	
Overations # 8 Section # 395.3(b)(2) Critical	Answer
Has the carrier allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive	No
days? (Property)	
Question Operations # 9 Section # 395.5(a)(1) Critical	Answer
Has the carrier allowed driver(s) to exceed the 10 hour rule? (Passenger)	No
	Answer
Question Operations # 10 Section # 395.5(a)(2) Critical	No
Has the carrier allowed driver(s) to exceed the 15 hour rule? (Passenger)	, 10

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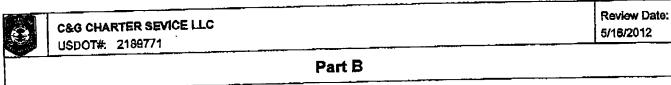
that On the Hand State of the Hand	Answer
uestion Operations # 11 Section # 395.5(b)(1) Critical as the carrier allowed driver(s) to drive after having been on duty more than 60 hours in 7 consecutive	No
as the carrier allowed driver(s) to drive alter having been on daty many and the carrier	
ays? (Passenger)	Answer
tuestion Operations # 12 Section # 395.5(b)(2) Critical	No.
luestion Operations # 12 decider, # decider, personal bear on duty more than 70 hours in 8 consecutive las the camer allowed driver(s) to drive after having been on duty more than 70 hours in 8 consecutive	1-0
ays? (Passenger)	
Question Operations # 13 Section # 395.8(e) Critical	Answer
Does available evidence indicate a selected driver has prepared a false record of duty status?	No
Question Operations # 14 Section # 392.2 Critical	Answer
the mater earlier ensure that drivers operate commercial motor vehicles in accordance with the laws,	Yes
ordinances, and regulations of the jurisdictions in which they are operating?	
Coordinat # 15 Section # 392 9(a)(1) Critical	Answer
Duestion Operations # 15 Section # 552.5(a)(1) Chalcol Does the carrier ensure that drivers are not permitted to drive a vehicle without the cargo properly distributed	Yes
ones the carrier ensure that drivers are not permitted to drive a verme and an arms and a dequately secured?	
	Answer
Question Operations # 16 Section # 392.4(b) Acute	No
ave any drivers operated a commercial motor vehicle while under the influence of, or in possession of, are also drivers incapable of safety narcotic drugs, amphetamines, or any other substances capable of rendering the drivers incapable of safety	
rancotic drugs, amphetamines, or any other substances capable of rendering the differences of the same	
perating motor vehicles?	A ROLLOF
Question Operations # 17 Section # 392.5(b)(1) Acute	Answer
lave any drivers operated a commercial motor vehicle while under the influence of, or in possession of,	No
ntoxicating beverages?	
Question Operations # 18 Section # 392.5(b)(2) Acute	Answer
Have any drivers operated a commercial motor vehicle within 4 hours of having consumed intoxicating	No
beverages?	
	Answer
Question Maintenance #1 Section #396.3(b) Critical	No *
Can the carrier produce maintenance files for requested vehicle(s)?	
Additional Documents Required	
Complete vehicle maintenance files; up to three (3).	
Preventive Maintenance Plan.	
Comments	: Janification
Comments Thomas Wilson - 4/13/12 to FL - 1999 VANH YE2TC63B9X2043387 - Missing maintenance folder with vehicle	IGenuncauch
information to include PM plan	
Question Maintenance # 2 Section # 396.17(a) Critical	Answer
Can the motor carrier produce evidence of periodic (annual) inspections for selected vehicles?	Yes
Can the motor carrier produce evidence of periodic (strings) we produce the contract produce evidence of periodic (strings) we produce the contract produce evidence of periodic (strings) we produce the contract produce evidence of periodic (strings) we produce the contract produce evidence of periodic (strings) we produce the contract produce evidence of periodic (strings) we produce the contract produce evidence of periodic (strings) we produce the contract produce evidence of periodic (strings) we produce the contract produce evidence of periodic (strings) we produce the contract produce evidence of periodic (strings) we produce the contract produce evidence of periodic (strings) we produce the contract produce evidence of periodic (strings) we produce the contract produce evidence of the contract produce evidence	
Maintenance # 3 Section # 396 11/a) Critical	Answer
Question Maintenance # 3 Section # 396.11(a) Critical	Yes
Does the motor carrier require drivers to complete vehicle inspection reports delily?	
# 4 Carting # 206 11(a) Apple	Answer
Question Maintenance # 4 Section # 396.11(c) Acute	Yes
to the defeate lies of the deliver in the driver vehicle inspection reports	
Does the certier ensure that out-of-service defects listed by the driver in the driver venicle inspection reports	
Does the carrier ensure that out-of-service defects listed by the driver in the driver vehicle inspection reports are corrected before the vehicle is operated again?	A consum-
Does the carrier ensure that out-of-service defects listed by the driver in the driver vehicle inspection reports are corrected before the vehicle is operated again? Output In Maintenance # 5 Section # 396.9(c)(2) Acute	Answer
Does the certier ensure that out-of-service defects listed by the driver in the driver vehicle inspection reports	Answer Yes

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Question Maintenance # 6 Section # 396.19	Answer
the carrier using qualified inspectors (mechanic) and maintaining evidence of the inspector's qualifications?	Yes
Question Maintenance #7 Section # 395.3	Answer
can the carrier explain its systematic, periodic maintenance program?	Yes
Question Other#1 Section#375.211	Answer
Does the carrier participate in an Arbitration Program?	N/A
Question Other # 2 Section # 13702.0	Answer
Does the carrier assess shipper freight charges based upon published tariffs?	N/A
Question Other # 3 Section # 375.401(c)	Answer
Does the carrier provide reasonably accurate estimates of moving charges?	N/A
Question Other # 4 Section # 375,407(a), 375,703(b)	Answer
Has the carrier avoided "hostage freight" or other predatory practices?	N/A
Question Other # 5 Section # 387.301(a), 387.301(b)	Answer
Does the HHG carrier have sufficient levels of public liability and cargo insurance?	N/A
Question Other #6 Section # 375.215	Answer
Does the carrier have a published tariff and is the motor carrier changing the applicable rate (375.215).	N/A
Question Other #7 Section #375.213	Answer
Can the motor carrier identify the five documents required to be given to a prospective individual shipper prior to executing an order for service?	N/A
Question Other #8 Section #49 CFR 37 subpart H	Answer
Does the carrier have the means to provide accessible over-the-road bus (OTRB) service on a 48-hour advance notice basis by its owned or leased OTRBs?	N/A
Question Other #9 Section #49 CFR 37 subpart H	Answer
If the carrier does not have the means then does the carrier have an arrangement with another carrier that operates accessible OTRBs?	N/A

Note: No Hazardous Materials questions were asked because the carrier does not carry Hazardous Materials in Interstate Commerce.

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Your Proposed Safety Audit Result is: PASS

Explanation of Scoring Methodology

Factor	Failed Questions		Performance	Total	Factor Status
	Critical	Acute	Test Status	Points	Status
1. General	0	0	_	0	PASS
2. Driver	1	0 .	_	1	PASS
3. Operations	0	0	-	o	PASS
4. Maintenance	1	0	PASS 0.00 %	1	PASS
5. Hazardous Materials	_	-	_	_	. —
6. Accidents	_		PASS - 0.00		PASS
SUM	2	0		2	PASS

Result: Carrier has adequate basic safety management controls in place.

NOTE: Carrier has the right to request a review of this determination if there are factual or procedural disputes.

HOW THE SAIS SCORED

FACTORS - The Federal Motor Carrier Safety and Federal Hazardous Material Regulations are categorized into six factors. Multiple questions address the various factors. The Part B Question & Answer Report lists the CFR section numbers related to each question.

CRITICAL/ACUTE - Questions are also defined as CRITICAL, ACUTE or neither depending on the significance of the underlying regulation. Questions are assigned a point value if they are incorrectly answered. Critical = 1 and Acute = 1.5. The point value are summed for each factor. Any factor with a point value of 3 or more is marked "FAILED".

OUT OF SERVICE (OOS) RATE - The Driver/Vehicle OOS rate is used in factor #4 as another question. If there have been more than three level 1, 2, or 5 North American Standard Inspections conducted over the past year, they will be summarized. If the summed OOS rate is over 34%, one additional point is assigned to that factor.

CRASH FACTOR - Carriers are defined as urban or non-urban in order to compensate for the higher crash risk of urban operations. Urban carriers are defined as those that operate within a 100 air-mile radius. The crash rate for a carrier is calculated as accidents per million miles traveled. Factor #6 is "FAILED" if the urban carrier crash rate exceeds 1.7 or the non-urban carrier crash rate exceeds 1.5.

OVERALL STATUS DETERMINATION - Any carrier with 3 or more "FAILED" factors is deemed to have failed the Safety Audit by having inadequate safety management controls in place to operate in the U.S.

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Part B Requirements and/or Recommendations

- Obtain a copy of each driver's driving record and review it annually.
- 2. Ensure that drivers provide a 10-year employment history on their employment application.
- 3. Ensure that all drivers are fully and property qualified before operating in Interstate commerce. Maintain a complete file as required for each driver, documenting the qualification process.
- 4. Laboratory must transmit aggregate statistical summary on semi-annual basis
- 5. Maintain all required controlled substance testing records including yearly summaries, quarterly summaries, test information, test results, records of training etc., as required by 49 CFR Parts 40 and 382 of the FMCSR.
- 6. Use only those laboratories certified by the Substance Abuse and Mental Health Services Administration for the analysis of urine specimens. For a list of certified laboratories check www.drugfreeworkplace.gov or call (301) 443-6014.
- 7. Use of radar detectors or similar devices on commercial vehicles is illegal. Do not require or permit drivers to use them. Take appropriate disciplinary action against drivers if they are using such devices.
- 8. Do not schedule or require drivers to make trips requiring them to exceed posted speed limits in order to complete the run within the hours of service limits.
- 9. Require all drivers to prepare complete and accurate records of duty status for each day, and to submit them within 13 days. Maintain all duty status records on file, with all supporting documents, for at least 6 months.
- 10. Ensure that all documents supporting records of duty status (such as toll, fuel repair and other on-the-road expense receipts, as well as invoices, bills of lading, dispatch records, etc.) are kept on file for at least 6 months.
- 11. Obtain from any driver used for the first time (or intermittently) a signed statement showing the total time onduty during the preceding seven (7) days and the time at which the driver was last relieved from duty.
- 12. Toll receipts and other on-the-road expense receipts, invoices, bills of lading, dispatch records, and other "supporting document" must be kept on file for six (6) months. This requirement also applies to records generated by the use of owner-operators. You may keep legible photocopies in lieu of originals.
- 13. Ensure that all drivers' records of duty status (logs) are accurate. Check them against "supporting documents" to verify accuracy. Prohibit falsification of logs by any driver. Review the rules on supporting documents. Take appropriate action against drivers who falsify logs.
- 14. Establish a systematic maintenance records program for all vehicles. Maintain a complete file for each subject vehicle, recording all repair, maintenance and inspection operations performed.
- 15. Establish a system to control passenger-carrying drivers' hours of service. Do not dispatch drivers who don't have adequate hours available to complete assigned trips legally. Do not allow drivers to exceed the 10, 15, and 60/70-hour limits.
- 16. For questions about DOT numbers or biennial updates: 800-832-5660 or 703-280-4001 For questions about licensing, authority or MC numbers: 202-366-9805

For questions about insurance: 202-385-2423

For household goods complaints: 888-DOT-SAFT (888-368-7238)

- 17. Each driver's qualification file shall be retained for as long as a driver is employed by that motor carrier and for three years thereafter.
 - (d) The following records may be removed from a driver's qualification file three years after the date of execution:
 - (d)(1) The motor vehicle record received from each State driver licensing agency to the annual driver record inquiry required by §391.25(a).
 - (d)(2) The note relating to the annual review of the driver's driving record as required by §391.25(c)(2);(d)(3) The list or certificate relating to violations of motor vehicle laws and ordinances required by §391.27;
- If you have any questions concerning this report,, please contact the South Carolina State Transport Police/ Motor Carrier Compliance Unit 10311 Wilson Boulevard/ P.O. Box 1993, Blythewood, SC 29016. Phone: 803-896-2696 / Fax 803-896-5526
- 19. Each employer shall maintain records of its alcohol misuse and controlled substances use prevention programs as provided in Section 382.401. The records shall be maintained in a secure location with controlled access. The following records shall be maintained for a minimum of five years: Records of driver alcohol test results indicating an alcohol concentration of 0.02 or greater, Records of driver verified positive controlled substances indicating an alcohol concentration of refusals to take required alcohol and/or controlled substances tests, Driver test results, Documentation of refusals to take required alcohol and/or controlled substances testing evaluation and referrals, Records related to the administration of the alcohol and controlled substances testing programs, and a copy of each annual calendar year summary required by Section 382.403 and all other records required by Section 382.401.
- 20. The original or a copy of the Periodic (annual) inspection report shall be retained by the motor carrier or other entity who is responsible for the inspection for a period of fourteen months from the date of the inspection report. The original or a copy of the inspection report shall be retained where the vehicle is either housed or maintained. See Section 396.21.
- 21. Inspection, repair and maintenance files shall be retained where the vehicle is either housed or maintained for a period of 1 year and for 6 months after the motor vehicle leaves the motor carrier's control.
- 22. Comprehensive Safety Analysis, CSA, is a Federal Motor Carrier Safety Administration (FMCSA) initiative to improve large truck and bus safety and ultimately reduce commercial motor vehicle (CMV)-related crashes, injuries and fatalities. It introduces a new enforcement and compliance model that allows FMCSA and its State partners to contact a larger number of carriers earlier in order to address safety problems before crashes occur.

For additional information on CSA, please visit the CSA website - http://csa2010.fmcsa.dot.gov/

23. A complete Educational and Technical Assistance package entitled "A MOTOR CARRIER'S GUIDE TO IMPROVING HIGHWAY SAFETY" is available free on the FMCSA website to assist you in complying with the safety regulations. It contains many forms and documents useful for improving the safety of your operations. Check: www,fmcsa.dot.gov/factsfigs/eta/index.html.

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